



Paper No. SET/26/19	
	Tick One ✓
For discussion	
For approval	✓
For information/noting	

Date of Trust Board Meeting: 29 May 2019

Confidential or Public Agenda: Public

Agenda item: Draft Board Assurance Framework and Corporate Risk Register 2019/20

1.0 Introduction

This paper provides an update on the Board Assurance Framework, the outcome of the Corporate Risk Register for 2018/19 and a position report on the new 2019/20 Corporate Risk Register as at 20 May 2019. It also provides a suite of Datix Reports (see Appendix 3 of report) which illustrate progress on the implementation of the action plans (per individual Corporate Risk Register pro formas).

2.0 Background information

The Trust is required to have a Board Assurance Framework (3 year framework) and Corporate Risk Register (annual basis) in place which is updated and presented to the Trust Board on a regular basis (normally June and November each year). A new three year Board Assurance Framework and Risk Management Strategy (2018/2021) was developed and approved by the Trust Board at its meeting on 11 February 2019¹.

3.0 Brief summary of key points contained in the paper/s

On 19 February 2019, the management of the Corporate Risk Register was discussed by the Executive Management Team (EMT). It is normal practice to allocate part of their weekly meeting to the review and update the past year's Corporate Risk Register (2018/19) together with the formation of the new incoming year's Corporate Risk Register (2019/20).

At the meeting, the 2018/19 Corporate Risk Register pro formas were discussed in detail and the action plans were duly updated and closed off, as appropriate. Agreement was also reached on which items should be carried forward into

¹ BAF and Risk Management Strategy documents cover the period 2018-2021. However given that the documents were not formally approved until February 2019, the Trust, in line with the Internal Audit Finding – Risk Management Report, extended the time period covered by these documents to 2022 ie, to ensure a full 3 year period as intended in the current documents” [P3 finding – 2018/19 Risk Management Audit].

2019/20 and any new items for inclusion in the register.

Following the conclusion of the meeting, it was agreed that:-

- 15 of the existing 15 Corporate Risk Registers (2018/19) topics should be carried forward into the 2019/20 Corporate Risk Register with new action plans developed, as appropriate;
- No items were closed and/or remitted to management at Directorate Risk Register level;
- All forms were reviewed for accuracy and updated for scoring, control measures and new action plans inserted, as appropriate. The wording on some items was revised;
- Two new items were added to the register – ID2562: EU Exit and ID 2563: Assurances in relation to ISP Providers and Governance Arrangements. This is a 'living document' and can be added to at any time by Executive Management Team members.
- A total of 17 items are on the Corporate Risk Register for 2019/20.

The draft Board Assurance Framework and Corporate Risk Register for 2019/20 was circulated for review and comment during May 2019 to members of the Governance Assurance Committee (includes both Directors and NEDs). Minor comments were received and these have been incorporated into the final report which is now submitted to Trust Board for approval.

4.0 Recommendation/s for the Trust Board (please state if the paper/s is for information/noting or for approval by Board members)

To approve the draft report on the Board Assurance Framework and Corporate Risk Register for 2019/20.

Lead Director: Mrs M Weir

Designation: Director of Human Resources & Corporate Affairs

Date: 19 June 2019