



<b>Paper No. SET/33/17</b>	
	<b>Tick One ✓</b>
For discussion	
For approval	✓
For information/noting	

**Date of Trust Board Meeting:** 22 June 2017

**Confidential or Public Agenda:** Public

**Agenda item:** Board Assurance Framework and Corporate Risk Register 2017/18

### 1.0 Introduction

This paper provides an update on the Board Assurance Framework, the outcome of the Corporate Risk Register for 2016/17 and a position report on the new 2017/18 Corporate Risk Register as at 13 June 2017. It also provides a suite of Datix Reports (see Appendix 3 of report) which illustrate progress on the implementation of the action plans (per individual Corporate Risk Register pro formas).

### 2.0 Background information

The Trust is required to have a Board Assurance Framework (3 year framework) and Corporate Risk Register (annual basis) in place which is updated and presented to the Trust Board on a regular basis (normally June and November each year).

### 3.0 Brief summary of key points contained in the paper/s

On 21 February 2017, the management of the Corporate Risk Register was discussed by the Executive Management Team (EMT). It is normal practice to allocate part of their weekly meeting to the review and update the past year's Corporate Risk Register (2016/17) together with the formation of the new incoming year's Corporate Risk Register (2017/18). At the meeting, the 2016/17 Corporate Risk Register pro formas were discussed in detail and the action plans were duly updated and closed off, as appropriate. Agreement was also reached on which items should be carried forward into 2017/18 and any new items for inclusion in the register. Following the conclusion of the meeting, it was agreed that:

- 14 of the existing 16 [previously 17 – one item closed mid year] Corporate Risk Register (2016/17) topics should be carried forward into the 2017/2018 Corporate Risk Register with new action plans developed, as appropriate;
- 2 items were closed and remitted to management at Directorate Risk Register level:-

- ID1896 – Delayed Discharges;
- ID1883 – Risk Management
- All forms were reviewed for accuracy and updated for scoring, control measures and new action plans inserted, as appropriate. The wording on some items were revised and, in particular,
  - ID1898 to include issues regarding Phase B funding;
- 2 items were added to the register:-
  - ID2141 – Lakewood – escalated from the Corporate Control meeting on the 19/4/17; and
  - ID2252 – Cyber security issues – item escalated following discussion with Chair of the Audit Committee and via the IGSC.

A total of 16 items are now on the Corporate Risk Register for 2017/18.

**4.0 Recommendation/s for the Trust Board (please state if the paper/s is for information/noting or for approval by Board members)**

To approve the report on the Board Assurance Framework and Corporate Risk Register for 2017/18

**Lead Director:** Mrs M Weir

**Designation:** Director of Human Resources & Corporate Affairs

**Date:** 13 June 2017