

## Corporate Risk Register by Objectives - Status Report (Opened/Closed Items) As at 30 June 2021

Ref	Opened	Principal objectives	Title	Description	Risk level (current)	Closed date
<b>EMT Director: Adult Services &amp; Prison Healthcare</b>						
MOK2-21/22	23/12/2015	Ensure safety, improve quality and test experience	Inability to deliver seamless mental health acute in patient services on a single site consistent with best practice	Acute mental health inpatient accommodation (with the exception of the MHIPU, Downe Hospital) does not comply with all Royal College of Psychiatrists/AIMS standards. The geographical isolation of each of the inpatient units creates significant clinical risks and concern for the safety and wellbeing of patients and staff. The RQIA again escalated their concerns to the Trust in May 2021 regarding the mixed model of care within Ward 27, Downshire Hospital.	HIGH	
MOK3-21/22	07/10/2019	Ensure safety, improve quality and test experience	Inability to deliver Phase 1 of the Mental Capacity Act		HIGH	
<b>Number of records for Adult Services &amp; Prison Healthcare</b>				<b>2</b>		
<b>EMT Director: Children's Services &amp; Social Work</b>						
BC1-21/22	12/03/2020	Ensure safety, improve quality and test experience	Inability to provide appropriate security required following regional review of specialist residential childcare facilities	The Regional Secure Care Centre, Lakewood provides Secure care for up to a maximum of 16 young people aged from 12 to 18 years. Children referred to the Secure care admissions panel, must meet the criteria set out in the Children's Order 1995 (Northern Ireland) Art 44 in regards to the risk of going missing and experiencing significant harm to self and others unless kept in Secure accommodation. Following a regional review of specialist residential childcare facilities, the DOH/DOJ are leading the design phase for the development of a new Secure Campus. During September 2019 a new Multi-Agency Regional Restriction of Liberty Panel was established to allocate placement based on assessment of greatest need. The Panel is independently chaired by a HSCB employee who advises SET of the outcome of the panel and decision regarding the young person to be admitted. Whilst the panel is working effectively	HIGH	

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				<p>to determine the young person deemed to be at greatest risk regionally, the new arrangements have increased risk for SET as the profile of young people prioritised for admission has changed resulting in higher numbers of older male adolescence aged 16/17 with a history of chronic drug misuse, propensity for violence and at times under paramilitary threat.</p> <p>Young people of this nature continue to attempt to procure drugs either whilst out on Trust visits or to their home community or by being supplied by visitors such as family members.</p> <p>Meetings have commenced with DOH and HSCB to address the increased risk to SET and requirement for SET to retain governance in respect of admissions to ensure clarity as to whether each proposed admission can be safely managed in the context of the profile of young people in Lakewood. SET is scoping the potential to implement additional security measures such as use of CCTV and searching which will require endorsement from RQIA, HSCB and DOH.</p>		
<b>Number of records for Children's Services &amp; Social Work</b>			<b>1</b>			
<b>EMT Director: Finance &amp; Estates</b>						
WT1-21/22	01/04/2008	Continue to improve	Inability to achieve recurrent financial stability	Ongoing lack of availability of recurrent funding, and the emerging pressures associated with funding of service change/redevelopment using non-recurrent ring-fenced funds.	EXTREM	
WT2-21/22	01/04/2008	Ensure safety, improve quality and test experience	Inability to ensure the quality of the aged built environment and associated infrastructure	<p>The risks posed by an aging estate around the Ulster, Downshire and Ards Hospitals.</p> <p>Various buildings and estate that were targeted for disposal or demolition as part of major business cases are now being retained for longer periods until those business cases are funded.</p> <p>A major financial investment in infrastructure, power, mechanical, water, ventilation, drainage and building fabric is required for some of our estate buildings to prolong their life.</p>	EXTREM	
<b>Number of records for Finance &amp; Estates</b>			<b>2</b>			

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<b>EMT Director: Hospital Services</b>						
DR1-21/22	01/04/2013	Ensure safety, improve quality and test experience	Inability to provide safe and effective emergency care at Ulster Hospital		EXTREM	
<b>Number of records for Hospital Services</b>			<b>1</b>			
<b>EMT Director: Human Resources &amp; Corporate Affairs</b>						
CS1-21/22	01/04/2008	Ensure safety, improve quality and test experience	Inability to deliver the required staff within a wide range of professions locally, regionally and nationally	There is a current shortage of staff within a wide range of professions locally, regionally and nationally. This directly impacts on the ability of the Trust to meet current and future workforce requirements. External influencing factor is the impact of the HMRC regulations in relation to pensions resulting in increased risk adverse events and / or sub optimal outcomes for patients and clients.	EXTREM	
CS2-21/22	05/10/2015	Ensure safety, improve quality and test experience	Inability to maintain a satisfactory linen decontamination service	Aged Laundry Building & Equipment - with increasing prevalence of breakdowns The current laundry infrastructure is not compliant with current guidance or standards i.e. Health Technical Memorandum 01 -04 (Decontamination of linen for health and social care ) or BS EN 14065:2016 ( Risk Analysis and Bio contamination Control (RABC) in Laundries). The decontamination equipment is >40 years old, frequently breaks down and is difficult to repair as parts are not no longer available commercially and have to be specifically made. Service continuity to both internal and external users is difficult to achieve due to downtime caused by equipment failures.	HIGH	
<b>Number of records for Human Resources &amp; Corporate Affairs</b>			<b>2</b>			
<b>EMT Director: Medical Director's Office</b>						
CM1-21/22	26/04/2021	Ensure safety, improve quality and test experience	Inability to guarantee sterility of BD Alaris Infusion pumps	Infusion Pumps and associated consumables are required for the administration of products to patients - including blood, TPN and chemotherapy. Becton Dickinson (BD) has notified MHRA that the sterility of some of their devices cannot be guaranteed due to quality issues with their third-party sterilisation provider. Although the devices are likely to be sterile	MED	

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				<p>this cannot be guaranteed so there is a very small risk of infection from treatment with these devices. There will be supply disruption whilst BD transfer products to a new sterilisation provider. The quality issue was recently identified but ongoing for a number of years. No infection issues have been identified relating to these products.</p> <p>The BD FSN/ MHRA Safety alert (NatPSA) has been used to inform controls below. The NatPSA was disseminated via the Trust internal communications process on 11 March 2021. An Early Alert was issued to the Department of Health identifying potential impact across Trust services (EA07.21),</p> <p>The potential impact on the Trust may result in harm to patients particularly in groups of those immunosuppressed, unsatisfactory patient experience, significant financial loss and /or damage to reputation.</p>		
<b>Number of records for Medical Director's Office</b>			<b>1</b>			
<b>EMT Director: Planning, Performance &amp; Informatics</b>						
RC1-21/22	01/04/2008	Ensure safety, improve quality and test experience	Inability to deliver against the commissioned performance targets Trust wide	<p>Performance and Service Delivery Targets: Waiting Lists</p> <p>Inability to deliver against the commissioned performance targets Trust wide (Commissioning Plan Direction/ Commissioning Plan/Service &amp; Budget Agreement/Rebuild plans) due to increased financial constraints within health and social care, increased elective demand, consequence of Covid-19 and impact of growth within unscheduled care resulting in reduced capacity to deliver elective services. This is resulting in increased waiting times to access certain services to investigate, diagnose, and treat Trustwide.</p> <p>This causes potential increased risk for patient/service user clinical outcomes.</p>	EXTREM	
RC2-21/22	13/06/2017	Ensure safety, improve quality and test experience	Inability to cope/meet the growing cyber threats		HIGH	
RC4-21/22	01/05/2019	Ensure safety, improve quality and test experience	Inability to provide appropriate and robust governance arrangements across all Independent Sector Providers	Inability to provide appropriate and robust governance arrangements and assurances across all Independent Sector Providers to assure the Trust of the safe and effective delivery of services and	HIGH	

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				compliance with contract terms and conditions/ legislation		
<b>Number of records for Planning, Performance &amp; Informatics</b>			<b>3</b>			
<b>EMT Director: Nursing, Primary Care &amp; Elderly</b>						
NP3-21/22	01/04/2020	Ensure safety, improve quality and test experience	Inability to meet increased demand placed on management of Coronavirus patients		HIGH	
<b>Number of records for Nursing, Primary Care &amp; Elderly</b>			<b>1</b>			
<b>Total number of records</b>		<b>13</b>				